

Work Programme – Audit and Governance Committee – 2021/22

Ref	Committee Date	Report title	Purpose of Report	Report Author /Senior Officer	Consultation and Engagement Process and Timeline	Equality Impact Assessment Required and Published (Y/N)	Part of Budget and Policy Framework (Y/N)	Corporate Plan Priority	Exempt Item and Paragraph Number
25 November 2021									
AG/1/21-22	25 Nov 2021	Annual Audit Letter 2020/21 and Certification Report	To report on progress against the external audit of the 2020/21 accounts	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	No
AG/7/21-22	25 Nov 2021	Annual Statement of Accounts 2021/22	To report on progress against the annual statement of accounts	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	No
AG/8/21-22	25 Nov 2021	Annual Governance Statement 2020/21	To report on progress against the Annual Governance Statement 2020/21.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No
AG/3/21-22	25 Nov 2021	Audit and Governance Committee Annual Report 2021/22	To consider the Audit and Governance Committee Annual Report 2021/22.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No
AG/5/21-22	25 Nov 2021	Risk Management Update	To receive an update on the risk management and business continuity activity in the Council.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No

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AG/6/21-22	25 Nov 2021	Internal Audit 2021/22 Plan Progress Update	To consider a progress report on the Internal Audit Plan 2021/22.	Director of Finance and Customer Services (s151 Officer)		No	No	An open and enabling organisation	No
AG/10/21-22	25 Nov 2021	Procurement of External Audit Services	To receive an update on the procurement of external audit services for opted-in bodies, to the national Sector Led Body Public Sector Audit Appointments Ltd, for the period 2023/24 – 2027/28.	Director of Finance and Customer Services (s151 Officer)		No	No	An open and enabling organisation	No
AG/11/21-22	25 Nov 2021	Work Plan	Forward looking programme of meetings and agenda items for 2021/22 to ensure comprehensive coverage of the Committee's responsibilities.	Executive Director Corporate Services		No	No	An open and enabling organisation	No
AG/12/21-22	25 Nov 2021	Contract Procedure Rule Non-Adherences	Report to update Committee on the quantity and reasons for Non-Adherences approved since the last Committee.	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	No
AG/13/21-22	25 Nov 2021	Contract Procedure Rule Non-Adherences	To consider the WARNs.	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	1, 2, 3

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17 January 2022									
AG/9/21-22	17 Jan 2022	Hearing Sub Committee Findings	To receive a report on the outcome of the Hearing Sub Committees.	Director of Governance and Compliance (Monitoring Officer)		No	No	An open and enabling organisation	No
AG/14/21-22	17 Jan 2022	Member Code of Conduct	To agree a revised Councillor Code of Conduct to be recommended for adoption by Council.	Director of Governance and Compliance (Monitoring Officer)		No	Yes	An open and enabling organisation	No
AG/15/21-22	17 Jan 2022	Whistleblowing Policy	To consider the Whistleblowing Policy and recommend to Council for approval.	Director of Governance and Compliance (Monitoring Officer)		No	Yes	An open and enabling organisation	No
AG/16/21-22	17 Jan 2022	VFM report from External Auditors	To receive the Value for Money report from the External Auditors in relation to the 2020/21 Statement of Accounts.	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	No
AG/4/21-22	17 Jan 2022	Upheld Complaints to the Local Government Ombudsman	To consider the Decision Notices issued by the Local Government Ombudsmen.	Director of Governance and Compliance (Monitoring Officer)		No	Yes	An open and enabling organisation	No
AG/28/21-22	17 Jan 2022	Conflict of Interest Policy	To consider the Conflict-of-Interest Policy.	Director of Governance and Compliance (Monitoring Officer)		No	Yes	An open and enabling organisation	No

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10 March 2022									
AG/17/2 1-22	10 Mar 2022	External Audit Progress and Update Report	To receive an update from the Council's External Auditors in relation to the 2020/21-external audit report and other issues.	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	No
AG/18/2 1-22	10 Mar 2022	Members Code of Conduct: Standards Report	To note the numbers and outcomes of complaints made under the Code of Conduct for Members.	Director of Governance and Compliance (Monitoring Officer)		No	No	An open and enabling organisation	No
AG/19/2 1-22	10 Mar 2022	Annual Governance Statement - Progress Update	Update on actions to improve governance arrangements and respond to emerging issues identified in the 2020/21 Annual Governance Statement. Proposed process for the production of the 2021/22 Annual Governance Statement.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No
AG/20/2 1-22	10 Mar 2022	Covid-19 Report	To consider the most recent report on the Council's response to Covid-19 as prepared and presented to the Council's Corporate Policy Committee.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No
AG/21/2 1-22	10 Mar 2022	Upheld Complaints to the Local Government Ombudsman	To consider the decision notices issued by the Local Government Ombudsman.	Director of Governance and Compliance (Monitoring Officer)		No	Yes	An open and enabling organisation	No

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AG/22/2 1-22	10 Mar 2022	Risk Management Update	To update the Committee on Risk Management and Business Continuity activity in the Council.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No
AG/23/2 1-22	10 Mar 2022	Internal Audit Plan 2021/22: Progress Report	To consider a summary of Internal Audit Work undertaken between September 2021 and December 2021.	Executive Director Corporate Services		No	Yes	An open and enabling organisation	No
AG/24/2 1-22	10 Mar 2022	Work Plan 2021/22 and 2022/23	Forward looking programme of meetings and agenda items to ensure comprehensive coverage of the Committee's responsibilities.	Executive Director Corporate Services		No	No	An open and enabling organisation	No
AG/25/2 1-22	10 Mar 2022	Contract Procedure Rule Non-Adherences	To receive an update on the quantity and reasons for Non-Adherences approved since the last Committee.	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	No
AG/26/2 1-22	10 Mar 2022	Contract Procedure Rule Non-Adherences	To note the WARNs.	Director of Finance and Customer Services (s151 Officer)		No	Yes	An open and enabling organisation	1, 2, 3
Unallocated Items									
AG/27/2 1-22		Highways; Allocation and Use of Funding	To receive a report on the allocation and use of Highways funding.					An open and enabling organisation	
AG/28/2 1-22		Partnerships Governance	To review the governance and assurance arrangements for significant partnerships or collaborations.					An open and enabling organisation	